

VZCZCXYZ0009
RR RUEHWEB

DE RUEHHK #0969 1500205
ZNR UUUUU ZZH
R 290205Z MAY 08 ZDK
FM AMCONSUL HONG KONG
TO RUCPDOC/USDOC WASHDC
INFO RUEHC/SECSTATE WASHDC 4973
RHMFIUU/HQ BICE WASHINGTON DC

UNCLAS HONG KONG 000969

USDOC FOR 532/OEA/LHINES/ADYSON
USDOC FOR 3132 FOR FCS/OIO REGIONAL DIRECTOR WILLIAM ZARIT
BICE FOR OFFICE OF STRATEGIC INVESTIGATIONS

SIPDIS

E.O. 12958: N/A

TAGS: BMGT BEXP HK ETRD ETTC
SUBJECT: EXTRANCHECK: PRE-LICENSE CHECK: GOLDEN MUTUAL (H.K.)
LIMITED

REF: A) USDOC 02728 B) D395137

¶1. Unauthorized disclosure of the information provided below is prohibited by Section 12C of the Export Administration Act.

¶2. As per reftel A request and at the direction of the Office of Enforcement Analysis (OEA) of the USDOC Bureau of Industry and Security (BIS), Export Control Officer Philip Ankel (ECO), attempted to conduct a pre-license check at Golden Mutual (H.K.) Limited, Suite B, 11/F, Sun Hing Commercial Building, 55 Tong Mei Road, Kowloon, Hong Kong (Golden Mutual). The purpose of the visit was to determine the suitability of Golden Mutual to be the ultimate consignee (but not end user) of various cross tangential flow filtration components that are the subject of export license application D395137. The items (ECCN 2B352) are valued at USD \$6,100 and are controlled for chemical and biological weapons reasons (CB). The license applicant is Pall Corporation of East Hills, New York.

¶3. According to the Hong Kong Companies Registry, Golden Mutual was registered in 1998. Its share capital is the Hong Kong equivalent of USD 1250. Mainland national Liu, Xian is listed as the sole director. His mainland identification number is 440111540707426.

¶4. A web search revealed that Golden Mutual does not appear to have a web presence. However, Golden Mutual's address and phone numbers are also listed as the business address and phone numbers for a number of additional companies including HuaXing (HK) Industrial Development Co., Ltd (a mainland handbag manufacturer) as well as Shanyuantang Medicament Biological Holdings Limited (a mainland pharmaceutical company - www.syt.com.cn). ECO surmises that the Golden Mutual address is located at the offices of a company secretarial firm.

¶5. Commercial assistant Carrie Chan attempted, on several occasions, to reach a representative of Golden Mutual at the contact number listed in reftel A. The phone number was found to be the phone number for accounting firm David Ho & Co. The person answering the phone provided another number for Golden Mutual, namely (852) 2388-9987. A call to that number was rerouted to a mainland China number. The person answering the phone at that number confirmed that Golden Mutual is located at this office (along with a number of other companies). The person answering the phone (who did not identify herself) stated that she would pass along ECO's interest in meeting Hong Kong company Golden Mutual to the general manager. Subsequent follow-up calls to the company's Hong Kong phone number were not returned. Finally, on May 23rd, Commercial Assistant Chan was able to reach someone at the 2388-9987 number. The person answering the phone stated that Golden Mutual had left in December 2007 and did not have further contact details.

¶6. According to its staff, the Hong Kong affiliate of the exporter (Pall Asia International Ltd.), is only involved in payment and logistics matters so is unaware of the order in question and is not

familiar with Golden Dragon.

¶7. Golden Mutual does not appear to be a suitable recipient of the subject controlled items since it has not been possible to verify the company's existence/operations in Hong Kong.

Cunningham